

SOP: IRB Records Retention		
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1 PURPOSE

- 1.1 This procedure establishes the process to retain IRB records.
- 1.2 The process begins when an application has been submitted to the IRB Office.
- 1.3 The process ends when records no longer need to be retained.

2 REVISIONS FROM PREVIOUS VERSION

2.1 7/1/2020.

3 POLICY

- 3.1 Protocol files are to be retained as long as required by law.
- 3.2 Records may be maintained in printed form or electronically.
- 3.3 Protocols in which there was no subject enrollment or no research was conducted are to be retained the same as protocols where research was conducted.
- 3.4 All records for research conducted or funded by a Common Rule department or agency are to be accessible for inspection and copying by authorized representatives of that agency at reasonable times and in a reasonable manner.
- 3.5 Records maintained that document compliance or non-compliance with Department of Defense (DOD) regulations shall be made accessible for inspection and copying by representatives of the DOD at reasonable times and in a reasonable manner as determined by the supporting DOD component.
- 3.6 All records for research subject to FDA regulations are to be accessible for inspection and copying by authorized representatives of FDA at reasonable times and in a reasonable manner.

4 RESPONSIBILITIES

4.1 IRB staff members carry out these procedures.

5 PROCEDURE

5.1 Paper files are archived indefinitely. Electronic files remain in eIRB+ indefinitely.

6 MATERIALS

6.1 None.

7 REFERENCES

- 7.1 46 45 CFR 115 (a)-(b) 21 CFR 56.115 (a)-(b).
- 7.2 30.4.5 Records Management Rutgers Policy Library.
- 7.3 HRPP Guidance: Record Retention.