# eIRB+ Application Guide

# for Minimal Risk Research Studies

Please remember that not all sections below will apply to your application/research study. Some sections might NOT appear. The sections that appear as you complete your eIRB+ application are contingent upon your responses in previous sections. If you encounter difficulty in completing a section that does not appear below but DOES appear in your application, kindly contact the IRB office at <u>irboffice@research.rutgers.edu</u> for assistance.

1.0	* Enter the project title (full title):	Full Title of Project: (If Research [Tissue or Data] bank, Enter the Name of Bank)
2.0	* Enter the project title (short title):	The short (display) title is the Rutgers internal label associated with this project record. It is utilized as a direct link to this project and is displayed in the "All IRB Submissions" workspace where all activity is listed. This field is limited to 100 characters.
3.0	* Enter the Principal Investigator / Repository Administrator:	For more information on who may be a principal investigator (PI) click HERE Required training for researchers and the research team members click HERE > PI Institutional Status Guidance

# **Section 1.0 General Project Information**

• ENTER the study's Full Title (Q 1.0) and Short Title (Q 2.0). The Short Title entered will display in the eIRB+ dashboard.

• **ENTER** the Principal Investigator (Q 3.0) of the study by clicking the 3 dots (right side) or by typing the person's name in the open field.

- VISIT our page to see who can and cannot serve as Principal Investigator (PI).
- o RU graduate students serving as the PI must ADD a faculty advisor as a Co-Investigator

• **ADD** a study coordinator in Q 4.0, the person entered here will receive all correspondence and notifications regarding this study along with the Principal Investigator.

- ADD any Rutgers-affiliated study team members in Q 5.0 Co-Investigators to Q 6.0 Other Study Staff.
  - o IRB Tip: Ensure all members completed <u>Rutgers CITI requirements</u>

Continue 🔿

Once all information is provided, click

#### Section 1.1 Submission Type

Select the appropriate application type:
O Research Protocol Study (Greater than minimal risk) - Full Board
Research Protocol Study (minimal risk) - Expedited/Exempt
O Secondary Data Analysis Only (Exempt)
O Research [Biospecimen or Data] Bank
O Humanitarian Use Device (Full Board)
C Emergency Use of a Test Article (Expedited)
O Just In Time (Expedited)
O Non-Human Subject Research
O Administrative Review - (Rutgers U is not the IRB of record)
O Commercial IRB - WCG IRB or Advarra
Clear
Is this a Single IRB (sIRB) human subjects study involving multi-center (external sites) research with Rutgers as the reviewing IRB?     Ves ○ No <u>Clear</u>
* Is this an expanded access protocol?

• SELECT Research Protocol Study (minimal risk) - Expedited/Exempt

1.0

- This means you consider the study minimal risk and the study is not relying on an external IRB as the IRB of record.
- VISIT the IRB Recommendation Tool if you are unsure this is the correct application type for your research study.

Once all information is provided, click Continue €

#### Section 3.0 Project Funding

#### 3.0 Project Funding

Funding information related to the project.

1.0	Please indicate your current funding source:  Unfunded (PI will absorb all costs)  Funded  Clear	<ul> <li>Additional Information:</li> </ul>
2.0	If applicable, describe other funding source(s) for this project.	

- **SELECT** whether the study is **funded** or **unfunded** in Q 1.0.
  - Select **unfunded** only if the PI of the study will absorb all costs.
  - If funded externally or by department, select **funded** and fill out the following section 3.1 Funding Sponsor Information.

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Once all required sections are completed, click

Continue 🔿

# Section 3.1 Funding Sponsor Information

3.1 F	Funding Sponsor	1.0	Indicate all funding sources for this project:	Select <b>Department Funded</b> for internal / institutional funding. Select <b>Pending</b> whenever the sponsor is not provided in the list.
1.0	* Indicate all funding sponsors fo			- Email eIRB support at eIRB@Research.rutgers.edu with the missing sponsor details.
	Sponsor			
	There are no items to display	2.0	Funding type	
			O Corporate / Industry	
			O Government	
			O Foundation	
			O Internal / Institutional Funding	
			Clear	
ADI	<b>D</b> funding source by	selec	ting the + Add	

TYPE the name of funding source or type 'Department Funded' for internal/institutional funding.

Continue 🔿

Continue 🔿

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•	SELECT funding type and select		to save.

Specify all sites engaged in this project.

DELETE a sponsor you've listed by accident by hovering over the sponsor entry and selecting the 'X' that appears on the right side of the highlighted row.

Once all information is provided, click

**Section 4.0 Rutgers Affiliated Sites** 

	1.0	* Specify all R + Add	Rutgers sites engaged in	this project:		Include the site of your Rutgers affiliation (e.g.,	
		University Site	Subjects treated/recruited here	Records, Biospecimens or Data will be:	Is this the Coordinating Site?	Hospital, Cancer Institute of New Jersey,	
		There are no	) items to display			etc) Include all Rutgers affiliated sites where either data will be stored, data will be collected, participants will be recruited, or you will interact with participants. Additional Information:	
<ul> <li>ADD all Rutg need to answer a</li> </ul>	gers s additi	ites engage ional questi	d in your study by ons.	selecting the + Ad	d . This will pop	oulate an additiona	al box where you will
			aitaa huu ali aluina	OK and Add Another			

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- ADD multiple Rutgers study sites by clicking
- DELETE a site you've listed by accident by hovering over the site entry and selecting the 'X' that appears on the right side of the highlighted row.

Once all information is provided, click

#### Section 4.1 Non-Rutgers Project Sites

1.0	Domestic Sites:	<ul> <li>Additional Information:</li> </ul>
	Site         Will subjects be treated/recruited here:         Records, biospecimens or Data will be:         Is this the Coordinating         Rutgers	
	There are no items to display	
.0	International Sites:	Additional Guidance
	Site Site Country: Will subjects be Address: Country: treated/recruited here: Becords, Is this the Coordinating Site:	
	There are no items to display	

- ADD all domestic sites (within the USA) in Q 1.0 by clicking
  - **USE** our <u>interactive tool</u> if unsure if a domestic (non-Rutgers) site is engaged in research.
  - **CONTACT** the IRB Reliance Team (<u>irbrelianceteam@research.rutgers.edu</u>) if you answer **YES** to "Are you requesting Rutgers to serve as the IRB of record for this site (NON-Rutgers site)?"

+ Add

and answer the questions that populate after.

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ADD an international site in Q 2.0 by selecting
 VISIT our guidance page on International Research if needed.



# Section 5.0 Biosafety & Radiation Safety

Indicate whether this project involves any of the following:

1.0	Indicate if any of the following items are involved in your study:  Human blood, body fluids, tissues and/or cells  Human Gene Transfer , oncolytic viruses, or biologically derived toxins.  X-rays that subjects would receive if enrolled in this study Radionuclides that subjects would not receive if not enrolled in this study None of the above	Biosafety Overview and Requirements: Institutional Biosafety Committee (IBC) or contact biosafety@rutgers.edu. Additional Information:	
2.0	* Will specimens be analyzed and/or processed (e.g., pipetted, aliquoted, centrifuged) in a Rutgers laboratory?	Institutional Biosafety Committee (IBC) approval is required IF specimens are to be processed/analyzed in a Rutgers laboratory. Additional Information	

- **SELECT** all that apply in Q 1.0, if applicable.
- **UPLOAD** Institutional Biosafety Committee (IBC) approval in section 10.0 (section will appear at the end of the application) if specimens are to be processed/analyzed in a Rutgers laboratory.



Once all information is provided, click

## Section 5.1 Scientific Review Board (SRB)

For Scientific Review Board information and requirements visit <u>RBHS Scientific Review Board - CINJ Studies</u> and <u>RBHS Scientific</u> <u>Review Board - Non-Oncology Studies</u>.

Scientific Review Board (SRB) requirements.					
1.0		* Is this a cancer-related protocol involving a Robert Wood Johnson Medical School		<ul> <li>CINJ SRB Form Instructions:</li> </ul>	
		Yes O No <u>Clear</u>		<ul> <li>For RBHS researchers and stud teams outside of CIN</li> </ul>	iy IJ
	Scier	tific Review Board (SRB) requirements.			
	1.0	* Is this a cancer-related protocol involving a Robert Wood Johnson Medical School (RWJMS). New Jersev Medical School (NJMS) faculty member or a CINJ member?		CINJ SRB Form nstructions:	
		○ Yes ● No <u>Clear</u>	re	For RBHS esearchers and study	
		* Please indicate if ALL of the following apply to this study:	te	eams outside of CINJ:	
		* Principal Investigator is an RBHS faculty member outside of CINJ AND			
		* Study is either a clinical trial in accordance with the NIH definition OR the study requirements include clinical procedures, such as physical examination, X-ray, clinical laboratory testing, etc., which could potentially be billed to a patient's insurance. AND			
		* Study is conducted at Rutgers or at an affiliated hospital AND			
		* Study is not already under the purview of the CINJ Scientific Review Board			
		○ Yes ○ No <u>Clear</u>			
		* Does your study meet ALL the following criteria?			
		* Principal Investigator is an RBHS faculty member outside of CINJ AND			
		* RBHS investigator-initiated protocol (i.e., not sponsored by industry or an NIH consortium) AND			
		* Entails obtaining consent of study participants			
		O Yes O No <u>Clear</u>			

• SELECT 'Yes' if this study is a cancer related protocol involving RWJMS or NJMS or CINJ member, otherwise select 'No'.

• If you meet all the criteria below, **SELECT** 'No' in Q 1.0 and answer 'Yes' to the questions that appear after. If you meet the criteria below you will need to visit <u>Scientific Review Board</u> and obtain SRB approval.

- o Principal Investigator is an RBHS faculty member outside of CINJ
- o This is an RBHS investigator initial protocol (i.e., not industry sponsored or NIH consortium)
- o Study entails obtaining consent of study participants.

Once all information is provided, click



#### Section 6.0 - Research Summary

\* Is there an approved Sponsor's protocol, NIH -specific protocol, or lead site protocol for this study? O Yes O No <u>Clear</u> For Administrative Review and Commercial IRB submission types, please indicate 'Yes'. Please upload the IRB of Record approved research protocol document and/or the sponsor approved research protocol document in section 10 when prompted.

• If 'Yes' is **SELECTED** for Q 1.0, an alert will appear instructing you to upload the protocol document in section 10.0. eIRB+ Section 6.01 Local Context Summary will populate.

• If 'No' is **SELECTED** for Q 1.0, a standalone protocol document will not be requested because all protocol questions are included as part of the eIRB+ application.

3.0	* Enter a brief summary of the project:							
4.0	* Select ALL that apply to your study:							
	subjects							
	records							
	specimens							
	dyads							

• **SUMMARIZE** your project in Q 3.0 using lay language or language understood by a person unfamiliar with your area of research.

• **SELECT** all that apply under Q 5.0 and enter the number corresponding to the selection. For example, select subjects and records if you are enrolling 100 subjects and are also reviewing 50 student records.

If you select records, specimens or dyads, additional required fields will appear for you to fill in the corresponding number for your research. Additional eIRB+ sections will also populate depending on your selections (e.g., Section 6.03 Biospecimens, 6.04 Secondary Review of Data).

Continue 🔿

Once all information is provided, click

1.0

#### Section 6.02 Protocol Questions

• This section will ask you specific questions about your research. Please answer the questions and provide details in the text boxes provided.

• **IRB TIP #1:** Click the arrow icon on the right-side panel of the page to **access additional guidance and examples** related to each protocol section.

Additional information

• IRB TIP #2: Expand each text box by dragging the corner of the text box where the three diagonal lines are.

	3.0 * Enter a brief summary of the project:	
• IRB TIP #3:	Save your work regularly to prevent any loss of progress by selecting the SAVE icon at the bottom of	the
screen.		
Once all information is pr	rovided, click	

# Section 6.06 Interaction or Intervention with Subjects

• **SELECT** all that apply in Q 1.0 - 3.0 that describes your subject population.

• Q 4.0 - 10.0 will ask you specific questions about your research. Please answer the questions and provide details in the text boxes provided.

1.0	* Vulnerable Populations:	2.0	* Subject Gender(s)/Identity:	3.0	* Age Ranges:	
	Pregnant Persons or Fetuses		All Genders		Neonates (1-30 days)	
	Prisoners		Female		31 days - 6 years	-
	Adults Lacking Decisional Capacity		Male		7 - 12 years	-
	Students/Employees		Trans male / Trans man		13 - 17 years	
	Children Wards of the State		Trans female / Trans woman		18 - 64 years	
	Neonates		Transgender / Gender non-confirming		65 - 89 years	
	Neonates of Uncertain Viability				90 years and older	-
	Research Outside of NJ Involving Minors				□ N/A	
	Children Reaching Age of Majority During Study					
	All Other Children					
	None of the above					
4.0 5.0 6.0	Method to Identify Potential Subjects: Discu     Recruitment Details: Describe when, where, f     Subject Screening: Describe whether and how	ss the de	etails of each of the research instruments: surveys by whom potential subjects will be recruited. Des	s, questio	onnaires, focus groups, and other evaluation instruments you p terials that will be used to accomplish your recruitment efforts	lan to use.
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## Section 6.07 and 6.08 Interaction or Intervention with Subjects (Continued)

1.0	* Privacy Protections During Recruitment: Explain the measures implemented to safeguard privacy in the process of identifying and recruiting potential participants in the research
2.0	* Consent Process - Describe consent process:

• These sections will ask you to enter details regarding different items such as privacy protections, risk of harms, direct benefits to subjects, consent process, data analysis/security. Please answer the questions and provide details in the text boxes provided.

Once all information is provided, click

#### **Section 8.0 Informed Consent**



- **SELECT** if subjects will be providing consent (includes verbal consent, and electronic signatures).
  - Selecting 'No' will take you to section 8.2 Waivers.
  - o Selecting 'Yes, some but not all' OR 'Yes, all' will take you to section 8.1 Informed Consent Process.
- The following question will appear if you select 'Yes, some but not all' or 'Yes, all'.

Continue 🔿

You must upload all relevant Adult Consent, Assent, Parent/Guardian Permission, Surrogate Forms into section 10.

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\* Is this a greater than minimal risk study?

- SELECT 'NO' to question 'Is this a greater than minimal risk study.'
- USE our interactive tool if unsure what consent form template to use
- VISIT our website for the most <u>up-to-date consent form templates.</u>



#### Section 8.1 Informed Consent Process

- This section will ask you specific questions about the consent process such as location of consent and consent form duration. Please answer the questions and provide details in the text boxes provided for Q 1.0 Q 7.0.
- **READ** the right-side panel of the page to access additional guidance and examples related to each section.

	2.0	Location of Consent Process and Protecting Privacy:	Indicate where the consent process will take place and outline provisions made to protect subjects' privacy during consent discussions (this includes adult consent, assent, parent/guardian permission/and surrogate consent).
	3.0	Ongoing Consent:	If the duration of subjects' participation in the research is lengthy, outline any plans to re-contact them to determine whether they have any questions or concerns about continued participation in the research (this includes adult consent, assent, parent/guardian permission/and surrogate consent).
Once all information is p	rovide	Continue ➔ d, click	
		Section 8.1 Informed Consent Process (Contin	nued)
	\$	Indicate the types of consent that will be involved in this project (check a apply):	any or all that
		Written consent document will be signed by an adult subject	

- Written consent document will be signed by a surrogate
- Written permission for a minor will be signed by a parent or legal guardian
- Assent by a minor will be documented

□ Consent document (paper/electronic-email or internet/oral script) will not be signed by subject (requires a waiver of documentation of consent)

- SELECT all the types of consent that will be involved in this project in Q 8.0.
  - If you select *'Consent document will NOT be signed by a subject'* you will be required to fill out section **8.5 Waiver of Documentation of Informed Consent** on the next screen.
- **SELECT** 'Yes' in Q 9.0 **ONLY** if you are requesting a waiver of one of the eight elements below. You will be required to fill out the section **8.6 Waiver of Elements of Consent** on the next screen.



	9.0 *Are you requesting a waiver of certain elements nor Yes No Clear	mally required in the consent form?	Select YES, if you are requesting a waiver of one of the eight elements listed below) ► Eight elements normally required: ► Additional Guidance
	Elements normally required include: 1. A statement that the study involves research, an explanation of the purposes of the research and the expected duration of the subject's participation, a description of the procedures to be followed, and identification of any procedures which are experimental; 2. A description of any reasonably foreseeable risks or discomforts to the subject; 3. A description of any benefits to the subject or to others which may reasonably be expected from the research; 4. A disclosure of appropriate alternative procedures or courses of treatment, if any, that might be advantageous to the subject; 5. A statement describing the extent, if any, to which confidentiality of records identifying the subject will be maintained;	<ol> <li>A statement describing the econfidentiality of records identimaintained;</li> <li>For research involving more explanation as to whether any explanation as to whether any available if injury occurs and, i where further information may</li> <li>An explanation of whom to experiment questions about the subjects' rights, and whom to experiment that participation participate will involve no perawhich the subject is otherwise discontinue participation at any of benefits to which the subject</li> </ol>	extent, if any, to which fying the subject will be than minimal risk, an compensation and an medical treatments are f so, what they consist of, or be obtained; contact for answers to research and research contact in the event of a ubject; and n is voluntary, refusal to ity or loss of benefits to entitled, and the subject may time without penalty or loss t is otherwise entitled.
<ul> <li>SELECT 'Yes'</li> </ul>	in Q 10.0 ONLY if you are requesting a waiver of son	ne of the elements required	l to be included in the H

• **SELECT** 'Yes' in Q 10.0 **ONLY** if you are requesting a waiver of some of the elements required to be included in the HIPAA Authorization. You will be required to fill out section **8.9 HIPAA Waiver**.



Once all information is provided, click

#### 8.2 Waivers

#### 8.2 Waivers

Waivers of consent and/or HIPAA Authorization

Continue 🔿

.0	* Waivers - If you are applying for any waivers of consent and/or HIPAA Authorization (check all that apply)				
	Waiver of Adult Consent				
	Waiver of Assent				
	Waiver of Parental Permission				
	Waiver of HIPAA Authorization				
	Partial HIPAA waiver for recruitment purposes only				

• **APPLY** for any waivers by selecting them under Q 1.0. Additional sections regarding the waivers will appear on the next page depending on your selection. For example, section **8.9 HIPAA Waiver** will populate if you select Waiver of HIPAA Authorization.



#### 8.9 HIPAA Waiver

• **REMEMBER** to use the right-side panel for additional information and examples if you are unsure how to answer a question regarding any waivers.

1.0	* Describe the plan to protect PHI identifiers from improper use and disclosure:	▼ For Example:
		Coding the data and
		the code (link)
		coded research data.
		Maintaining identifiers
		research data.
		Securing data in an
		institution's server with access restrictions and
		dual authentication required for access.
		Encrypting a device
		used to store the data.

Once all information is provided, click

#### Section 10.0 Attachments Required attachments for this submission.

Consent	Documents:				
+ Add					
Name	Version Number	First Name	Last		
There are no items to display * Recruitment Materials/Data Collection Tools (flyers, brochures, advertisements, study tools, etc + Ad					
Name	Version Number	First Name	Last		
There are no * Grant:	items to display				
+ Add	Version Number	First Name	Last		
There are no Site Appro	items to display <b>vals (</b> Domestic/International Site a	pproval):			
Name	Version Number	First Name	Last		
There are no Other Sup	items to display porting Documents (e.g., OCRA c	confirmation):			
Name	Version Number	First Name	Last		
There are no	items to display				
Please inclu	le any additional information that was	not provided in this application.			

UPLOAD consent forms, recruitment materials and any data collection tools (surveys, interview guides, screening forms).
 REVIEW the above documents to ensure that they have version dates and numbers.

- UPLOAD site approvals for any domestic or international sites (these were listed in section 5.1).
  - o VISIT our website for site approval forms: Other Documents>Performance Site Approval Forms



Fi	nal Page
	Submission Summary:
	SUBMISSION TYPE: Research Protocol Study (minimal risk) - Expedited/Exempt REVIEW TYPE - REQUESTED: Expedited IRB SUBMISSION ID: Pro2024000449
	Next Steps:
	Submit study for IRB review:
	Your application form will not be submitted for review until the Principal Investigator returns to the study "workspace," and clicks on "Submit Study". You can track the status of this study's submission by logging into the study workspace.
	To submit the study:
	<ol> <li>Ensure that you have answered all questions in the application and all sections are error- free.</li> <li>Click on "Save &amp; Exit" to exit the application and return to the "workspace."</li> <li>Navigate to the left of your screen, and under "My Activities," click "Submit Study" to initiate IRB review.</li> </ol>

• **REMEMBER** to select which will take you to the study main page. Selecting this will <u>NOT</u> submit your application to the IRB. You must navigate to **MY ACTIVITIES** and select 'Submit Study' if you are ready to submit to the IRB.

My Activities	
Request DRAFT Services	
A Submit Study	



#### **Related Links and Interactive Tools**

I do not know if my research is considered human subject research or if I need to submit to the IRB?

Who can serve as the Principal Investigator on a research study?

What are the CITI requirements for the study personnel on my application?

What application type should I choose?

Are my research sites engaged in research? Use the Engagement in research tool.

I will be using a site for recruitment only, where can I find the performance site approval form?

Where can I read more information about international research and sites?

Who can I contact regarding Institutional Biosafety Committee (IBC) approval?

Who can I contact for questions about Scientific Review Board and obtaining SRB approval?

What consent template should I use? Use IRB Review Type and Template Recommendation Tool.

Where can I find the most recent consent form templates?

I will be using a site for recruitment only, where can I find the performance site approval form?

If you have any other questions, please contact the IRB inbox at irboffice@research.rutgers.edu.

