

Award (AWD) & Award Modification (AWD_MOD) States Quick Guide



Award and Award Modification States

This table defines each RAPSS state within the Awards and Award Modifications module.

RAPSS State	Description
<p>Draft Dept. Reconciliation Specialist Review Compliance Review Final Review Pending RFS Account Setup Active</p>	<p>Draft</p> <p>The Research and Sponsored Programs (RSP) pre-award specialist is in the process of reviewing the award documentation and is preparing the RAPSS award record.</p>
<p>Draft Dept. Reconciliation Specialist Review Compliance Review Final Review Pending RFS Account Setup Active</p>	<p>Department Reconciliation</p> <p>RSP pre-award has requested additional information from the department (budget, compliance, chart of account information, etc. necessary to advance the award in the setup process). The award set-up cannot continue until the request has been satisfied in its entirety.</p> <p>Once the request is complete, use the Completed Reconciliation activity to notify the RSP pre-award specialist</p>
<p>Draft Dept. Reconciliation Specialist Review Compliance Review Final Review Pending RFS Account Setup Active</p>	<p>Specialist Review</p> <p>The department has completed the reconciliation and the RSP pre-award specialist is reviewing the information provided.</p>
<p>Draft Dept. Reconciliation Specialist Review - Changes Requested Compliance Review Final Review Pending RFS Account Setup Active</p>	<p>Specialist Review: Changes Requested</p> <p>The RSP pre-award specialist has requested changes, additional information, or clarification upon review of the information provided by the department.</p> <p>Once the request is complete, use the Completed Requested Changes activity to notify the RSP pre-award specialist.</p>

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RAPSS State	Description
<p>Compliance Review</p>	<p>The RSP pre-award specialist has advanced the award to the respective compliance offices for congruence review/approval. The compliance officer reviewing the project may request additional information as part of their review process. The RSP pre-award specialist cannot advance the award in the setup process until either:</p> <ol style="list-style-type: none"> 1) All outstanding compliance reviews have been completed. 2) A request for a restricted account has been made and approved.
<p>Final Review</p>	<p>The compliance reviews have been completed and the RSP pre-award specialist is preparing to handoff the award to Research Financial Services (RFS) for account establishment or modification in the Oracle Financial system.</p>
<p>Final Review: Changes Requested</p>	<p>The RSP pre-award specialist has made a request for additional information or clarification by the department before they hand-off the award to RFS for account establishment or modification in the Oracle Financial system.</p> <p>Once the request is complete, use the Completed Requested Changes activity to notify the RSP pre-award specialist.</p>

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RAPSS State	Description
<p>Pending RFS Account Setup</p>	<p>The pre-award/non-financial post award review has been completed. RFS has been notified to establish the new accounts (award) or update the existing accounts (award modification) in the Oracle Financial System.</p>
<p>Advance Account</p>	<p>RFS has established the award in the Oracle Financial System as an Advance Account. The award will be established in full upon receipt of the formal notice of award or fully executed agreement.</p>
<p>Restricted Account</p>	<p>RFS has established the award in the Oracle Financial System as a Restricted Account. The award will be established in full upon resolution of all outstanding compliance issues in accordance with the Restricted account request. It is incumbent of the department to notify their RSP grants specialist using the Award Modification Request (AMR) to begin the process of removing the restriction.</p>
<p>Active</p>	<p>RFS has established the award in the Oracle Financial System in full. All compliance issues have been resolved and/or the advance account has been removed due to receipt/processing of the notice of award or fully executed agreement. Once the award is Active, the proposal will be noted as Awarded.</p>

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RAPSS State	Description
<p>Draft → Dept. Reconciliation → Specialist Review → Compliance Review → Final Review → Pending RFS Account Setup → Closed</p>	
Closed ⁽¹⁾	The award has been closed by RFS in the Oracle Financial system. The RAPSS record has been updated accordingly ⁽¹⁾ .
<p>Draft → Dept. Reconciliation → Specialist Review → Compliance Review → Final Review → Pending RFS Account Setup → Terminated</p>	
Terminated ⁽¹⁾	The award has been closed by RFS in the Oracle Financial System due to an early termination of the award. The RAPSS record has been updated accordingly ⁽¹⁾ .
<p>Draft → Dept. Reconciliation → Specialist Review → Compliance Review → Final Review → Pending RFS Account Setup → Closed</p>	
Transferred Out ⁽¹⁾	The award has been closed by RFS in the Oracle Financial System due to the principal investigator transferring out of Rutgers. The RAPSS record has been updated accordingly. ⁽¹⁾
<p>Draft → Dept. Reconciliation → Specialist Review → Compliance Review → Final Review → Pending RFS Account Setup → Withdrawn</p>	
Withdrawn	The RSP pre-award specialist has administratively withdrawn the award. Usually because it was initiated in error.

⁽¹⁾ These business processes are in the process of being defined/developed for a more accurate reflection of the activity.

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