

Title 26.  
Chapter 14. (New)  
Access to Medical  
Research  
§§1-5 -  
C.26:14-1 to  
26:14-5

P.L. 2007, CHAPTER 316, *approved January 13, 2008*  
Assembly, No. 2379 (*Third Reprint*)

1 **AN ACT** concerning informed consent for medical research and  
2 supplementing Title 26 of the Revised Statutes.

3

4 **BE IT ENACTED** by the Senate and General Assembly of the State  
5 of New Jersey:

6

7 1. This act shall be known and may be cited as the "Access to  
8 Medical Research Act."

9

10 2. The Legislature finds and declares that:

11 a. Access to the latest treatments developed through medical  
12 research is essential to provide the citizens of this State with the  
13 best health care services available;

14 b. The advancement of the scientific understanding of health,  
15 behavior, disease, and treatment is a vital endeavor for the benefit  
16 of humankind;

17 c. Ground-breaking research is currently being conducted in New  
18 Jersey by a wide variety of health professionals in the diagnosis,  
19 intervention and monitoring of all aspects of health and medical  
20 care; and

21 d. All research involving human participants, regardless of the  
22 setting, must be conducted with profound respect for their health,  
23 safety, and dignity.

24

25 3. The provisions of this act shall apply to medical research  
26 medical research <sup>3</sup>on persons with cognitive impairments, lack of  
27 capacity, or serious physical or behavioral conditions and life-  
28 threatening diseases<sup>3</sup> that<sup>2</sup>[:]<sup>2</sup> is approved and monitored by an  
29 institutional review board that holds an assurance with the United  
30 States Department of Health and Human Services<sup>2</sup>[:]; and relates to  
31 the cognitive impairment, lack of capacity, or serious physical or  
32 behavioral conditions and life-threatening diseases of research  
33 participants] and either:

34 a. offers the prospect of direct benefit to the individual subject,  
35 provided that the institutional review board has determined that the

**EXPLANATION** – Matter enclosed in bold-faced brackets [thus] in the above bill is not enacted and is intended to be omitted in the law.

Matter underlined thus is new matter.

Matter enclosed in superscript numerals has been adopted as follows:

<sup>1</sup>Senate SHH committee amendments adopted May 21, 2007.

<sup>2</sup>Senate floor amendments adopted December 10, 2007.

<sup>3</sup>Senate floor amendments adopted December 17, 2007.

1 risk is justified by the anticipated benefits to the subject and that the  
2 relation of the anticipated benefit to the risk is at least as favorable  
3 to the subject as that presented by available alternative approaches.  
4 If a currently recognized treatment exists, the subject or his  
5 guardian or authorized representative, as applicable, shall be  
6 presented with the choice of the recognized treatment and the  
7 research protocol; or

8 b. does not offer the prospect of direct benefit to the individual  
9 subject, provided that the institutional review board has determined  
10 that it: (1) is likely to yield generalizable knowledge about the  
11 subject's disorder or condition; (2) by its very nature cannot be  
12 conducted without the participation of decisionally incapacitated  
13 persons as subjects; and (3) involves no more than a minor increase  
14 over minimal risk.

15 For purposes of this section, "minimal risk" means that the  
16 probability and magnitude of harm or discomfort anticipated in the  
17 research are not greater than those ordinarily encountered in daily  
18 life or during the performance of routine physical or psychological  
19 exams or tests<sup>2</sup>.

20

21 4. As used in this act, "informed consent" means the  
22 authorization given pursuant to this act to participate in medical  
23 research performed on a subject after each of the following  
24 conditions have been satisfied:

25 a. The subject or his guardian, or authorized representative as  
26 provided in section 5 of this act, as applicable, is informed both  
27 verbally and within the written consent form, in nontechnical terms  
28 and in a language in which the subject or the subject's guardian or  
29 authorized representative is fluent, of the following facts <sup>2</sup>[of the  
30 proposed medical research, which might influence the decision to  
31 participate in the research, including, but not limited to]that  
32 include<sup>2</sup>:

33 (1) an explanation of the procedures to be followed in the  
34 research and any drugs or devices to be utilized, including the  
35 purposes of the procedures, drugs, or devices<sup>2</sup>and, when applicable,  
36 the use of placebo controls and the process by which persons will  
37 be assigned to control groups<sup>2</sup>;

38 (2) a description of any attendant discomfort and <sup>2</sup>reasonably  
39 foreseeable<sup>2</sup> risks to the subject <sup>2</sup>[to be reasonably expected]<sup>2</sup>;

40 (3) an explanation of any <sup>2</sup>potential direct<sup>2</sup> benefits to the subject  
41 <sup>2</sup>[to be]. If no such direct benefits are<sup>2</sup> reasonably expected, <sup>2</sup>[if  
42 applicable] that fact should be made clear<sup>2</sup>;

43 (4) a disclosure of any appropriate alternative procedures, drugs  
44 or devices that might be advantageous to the subject, and their  
45 relative risks and benefits;

46 (5) an estimate of the expected duration of the research  
47 procedure or study;

1 (6) an offer to answer any inquiries concerning the research or  
2 the procedures involved <sup>2</sup>and an explanation of whom to contact for  
3 answers to pertinent questions about the research and the research  
4 subject's rights, and whom to contact in the event of a research-  
5 related injury<sup>2</sup>;

6 (7) an instruction to the subject or his guardian or authorized  
7 representative, as applicable, that he is free to withdraw his prior  
8 consent to the medical experiment and discontinue participation in  
9 the research at any time, without prejudice to the subject;

10 (8) the name, institutional affiliation, if any, and address of the  
11 person or persons actually performing and primarily responsible for  
12 the conduct of the research;

13 (9) the name of the sponsor or funding source, if any, or  
14 manufacturer if the research involves a drug or device, and the  
15 organization, if any, under whose general aegis the research is being  
16 conducted;

17 (10) the name, address, and phone number of an impartial third  
18 party, not associated with the research, to whom the subject may  
19 address complaints about the research <sup>2</sup>and the contact information  
20 for the institutional review board connected with the research<sup>2</sup>; and

21 (11) the material financial stake or interest, if any, that the  
22 investigator or research institution has in the <sup>2</sup>[outcome of the]<sup>2</sup>  
23 research. For purposes of this section, "material" means \$10,000 or  
24 more in securities or other assets valued at the date of disclosure, or  
25 in relevant cumulative salary or other income, regardless of when it  
26 is earned or expected to be earned or as otherwise determined by  
27 the research institution.

28 b. The subject or his guardian or authorized representative, as  
29 applicable, has signed and dated a written consent form.

30 c. The written consent form is signed and dated by <sup>2</sup>[any] a<sup>2</sup>  
31 person <sup>2</sup>[other than] , who is not<sup>2</sup> the subject <sup>2</sup>[or],<sup>2</sup> his guardian  
32 or authorized representative, or the researcher, and<sup>2</sup> who can attest  
33 that the requirements for informed consent to the medical research  
34 have been satisfied.

35 d. Consent is given voluntarily and freely by the subject or his  
36 guardian or authorized representative without the intervention of  
37 <sup>2</sup>[any element of]<sup>2</sup> force, fraud, deceit, duress, coercion or undue  
38 influence.

39

40 5. a. For purposes of obtaining informed consent required for  
41 medical research <sup>2</sup>[in a non-emergency room environment]<sup>2</sup>, if a  
42 person who may be the subject of the research is unable to consent  
43 and does not express dissent or resistance to participation, surrogate  
44 informed consent may be obtained from an authorized  
45 representative with reasonable knowledge of the subject, who shall  
46 include any of the following persons, in the following descending  
47 order of priority:

- 1 (1) <sup>2</sup>the health care representative of the subject pursuant to an  
2 advance directive for health care;
- 3 (2) <sup>2</sup>the guardian of the subject who has the authority to make  
4 health care decisions for the subject;
- 5 <sup>2</sup>(2) the health care representative of the subject pursuant to an  
6 advance directive for health care;<sup>2</sup>
- 7 (3) the spouse <sup>1</sup>or civil union partner, as applicable,<sup>1</sup> of the  
8 subject;
- 9 (4) the domestic partner, as defined in section 3 of P.L.2003,  
10 c.246 (C.26:8A-3), of the subject;
- 11 (5) an adult son or daughter of the subject;
- 12 (6) a custodial parent of the subject;
- 13 (7) an adult brother or sister of the subject;
- 14 (8) an adult grandchild of the subject;
- 15 (9) an available adult relative with the closest degree of kinship  
16 to the subject.
- 17 b. <sup>2</sup>For purposes of obtaining informed consent required for  
18 medical research in an emergency room environment, if a person  
19 who may be the subject of the research is unable to consent and  
20 does not express dissent or resistance to participation, surrogate  
21 informed consent may be obtained from an authorized  
22 representative who is any of the following persons, in the following  
23 descending order of priority:
- 24 (1) the health care representative of the subject pursuant to an  
25 advance directive for health care;
- 26 (2) the guardian of the subject who has the authority to make  
27 health care decisions for the subject;
- 28 (3) the spouse <sup>1</sup>or civil union partner, as applicable,<sup>1</sup> of the  
29 subject;
- 30 (4) the domestic partner, as defined in section 3 of P.L.2003,  
31 c.246 (C.26:8A-3), of the subject;
- 32 (5) an adult son or daughter of the subject;
- 33 (6) a custodial parent of the subject;
- 34 (7) an adult brother or sister of the subject. <sup>2</sup>For purposes of this  
35 section, inability to consent shall mean that a subject is unable to  
36 consent if he is unable to voluntarily reason, understand, and  
37 appreciate the nature and consequences of proposed health research  
38 interventions, including the subject's diagnosis and prognosis, the  
39 burdens, benefits, and risks of, and alternatives to, any such  
40 research, and to reach an informed decision.
- 41 All adults are presumed to have the ability to consent unless  
42 determined otherwise pursuant to this section or other provisions of  
43 State law.
- 44 A determination that a subject is unable to consent, as well as the  
45 extent of his incapacity and the likelihood that he will regain  
46 decision-making capacity, shall be made by an attending physician  
47 with no connection to the proposed research and shall be made to a

1 reasonable degree of medical certainty.

2 A determination of incapacity shall promptly be given to the  
3 subject and to at least one person at the highest level reasonably  
4 available on the list of surrogates contained in subsection a. of this  
5 section.

6 Notwithstanding a determination of incapacity made pursuant to  
7 this section, a subject's objection to a determination of incapacity or  
8 objection to the proposed research intervention shall be binding,  
9 unless a court of competent jurisdiction determines that the subject  
10 lacks decision-making capacity.<sup>2</sup>

11 c. For the purposes of <sup>2</sup>[subsections a. and b. of]<sup>2</sup> this section:

12 (1) when there are two or more available persons who may give  
13 surrogate informed consent and who are in the same order of  
14 priority, if any of those persons expresses dissent as to the  
15 participation of the person in the research, consent shall not be  
16 considered as having been given; and

17 (2) when there are two or more available persons who are in  
18 different orders of priority, refusal to consent by a person who is a  
19 higher priority authorized representative shall not be superseded by  
20 the consent of a person who is a lower priority authorized  
21 representative.

22 d. An authorized representative described in this section shall  
23 <sup>2</sup>[exercise substituted judgment, and base] make<sup>2</sup> decisions about  
24 participation in accordance with the subject's individual health care  
25 instructions, if any, and other wishes, to the extent known to the  
26 authorized representative. If the authorized representative does not  
27 have knowledge of any health care instructions or other wishes of  
28 the subject, <sup>2</sup>or if the instructions or wishes do not clearly indicate  
29 what decision should be made,<sup>2</sup> he shall make the decision in  
30 accordance with the subject's <sup>2</sup>[best interests. In determining the  
31 subject's best interests, the authorized representative shall consider  
32 the subject's]<sup>2</sup> personal values and his best estimation of what the  
33 subject would have chosen if he were capable of making a decision.

34 e. The requirement for obtaining informed consent for medical  
35 research pursuant to this act shall not apply to any medical research  
36 <sup>2</sup>[that benefits] with respect to<sup>2</sup> a person who is subject to a life-  
37 threatening emergency in accordance with the conditions set forth  
38 in 21 C.F.R.s.50.24.

39 f. The requirements for obtaining informed consent for medical  
40 research pursuant to this act may be altered or waived in accordance  
41 with the conditions set forth in 45 C.F.R.s.46.116(d).

42 g. A person who provides surrogate consent pursuant to this  
43 section may not receive financial compensation for providing the  
44 consent.

45 h. Except as otherwise provided by law, the provisions of this  
46 section shall not <sup>2</sup>[apply to an adult in a terminal condition who  
47 executes] override<sup>2</sup> an advance directive for health care <sup>2</sup>[directing

1 the withholding or withdrawal of life-sustaining procedures]  
2 executed<sup>2</sup> pursuant to P.L.1991, c.201 (C.26:2H-53 et seq.).

3

4 6. This act shall take effect immediately.

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9 "Access to Medical Research Act;" authorizes certain persons to  
10 give informed consent for medical research if subject of research is  
11 unable to give consent.